

# **CSA Z809-02**

## **Surveillance Audit**

November 22-24 2005

# Audit Details

- Audit Dates: November 22-24 2005
- Type of Audit: Surveillance Audit
- Audit Team:
  - Jeff Koch (Lead Auditor - FFP)
  - Gregor Macintosh (Lead Auditor - Tolko)
  - Nate Ryant (FFP - EMS)
- Audit Coverage
  - The audit activities covered all of the organizational and functional units or processes and activities as identified in the Audit Plan.

# Audit Details (Cont.)

## ■ Purpose & Audit Scope

- “To evaluate the suitability, adequacy, and effectiveness of the Sustainable Forest Management System in meeting the requirements of the CAN/CSA Z809:2002 standard and the SFM System documentation for the declared scope of registration.”

## ■ Revision Status of the SFM Plan

- Tolko/Footner SFM Plan (October 3rd 2005)

# Audit Details (Cont.)

- Two “office-days” and one “field-day”
- “Most” harvesting contractors for both Tolko & Footner were audited during the field audit.
- 4 Public Advisory Committee (PAC) members interviewed (2 live/2 phone) regarding the public advisory process
- 2 PAC members participated in the field audit
- 2 PLFD Interviews (Compliance Records)

# Audit Findings

- Positive Aspects of the SFM Plan
  - Records of external communication with various stakeholders within the Tolko/Footner EMS
  - [www.highlevelwoodlands.com](http://www.highlevelwoodlands.com) website received good feedback (information availability & public input)
  - Contractor “house-keeping” in camps and related activities.
  - Documentation (e.g. Operational Booklet)
  - High Conservation Value Forest Analysis
  - Contractor “record-keeping” in the field.
  - Contractor awareness of the EMS/SFM requirements

# Audit Findings (Cont.)

- Opportunities For Improvement
  - Ensure records from the “Communications Module” can be retrieved and analyzed.
  - Ensure contractor training records are on-site.
  - Improve collision protection around fuel tanks.
  - Embedded Operator Reporting
  - Include more detail in the Management Review
  - Training (Contractors & Staff) with regards to environmental incidents and the SFM requirements and indicators.

# Audit Findings (Cont.)

- Opportunities For Improvement (Cont.)
  - “Periodic” review of external communications
  - Including ASRD inspections as a means of identifying potential or actual non-conformances
  - Administrative Opportunities For Improvement
    - “Closing-off” Environmental Incident Reports (EIR’s)
    - System Enhancements - “Hot-links”
    - Timing of Annual EMS Reviews
  - Expanding forest health target to include deciduous pests.
  - Eliminating the PAC Honorarium



# Audit Findings (Cont.)

## ■ System Weaknesses:

- Reinforce spill thresholds with equipment operators
- Ensure that all applicable EMS documents and/or records are included in the EMS framework.
- Ensure that all stakeholder communication is entered into the Communications Module.
- Continue to reinforce EMS/SFM responsibilities for staff and contractors.



# Audit Information - Other

## ■ ForestCare Review:

- Tolko-High Level continues to maintain our certification status to the Alberta ForestCare program.
- Audit completed consistent with the Alberta Forest Products Association's "Equivalency Document"
- Auditor Review of the following:
  - Log Haul Protocols
  - Health & Safety Protocols\*
- Audit Results TBA!

# What's Next?

- Continue to monitor the status of the indicators
- Address the “System Weaknesses” identified in the surveillance audits.
- “Consider” the Opportunities For Improvement
- Follow-up with the AFPA on ForestCare certification status
- Preparation for the 2006 Surveillance Audit
  - December 12-14 2006!

**The End!**

Questions?